



REGULATION AND DISCIPLINE COMMITTEE CHARTER

The Regulation and Discipline Committee is a performance-monitoring and oversight committee. It is accountable for monitoring the operational performance of the State Bar's work related to attorney discipline including the Office of Chief Trial Counsel, Office of Probation, State Bar Court, Client Security Fund, and Office of Professional Competence. Pursuant to California Rule of Court 9.11(a)(2) any Board member appointed and serving on the Supreme Court's Applicant and Nomination Committee may not sit on this committee.

The Regulation and Discipline Committee shall:

- Establish the key elements of a programmatic reporting process, including the content, format, and frequency of performance reports to the Board, and oversee implementation of the process.
- Oversee (as directed by Bus. & Prof. Code, § 6079.5), the work of the chief trial counsel, who reports to and serves under the Regulation and Discipline Committee.
- Chief Trial Counsel Evaluation: Ensure that the chief trial counsel position description is updated as necessary to reflect changing State Bar needs and, priorities, and conduct the annual performance evaluation with the Board Executive Committee. An annual performance evaluation of the chief trial counsel will be conducted by the Regulation and Discipline Committee and Executive Committee and will be presented to the Board for review within 90 days of the anniversary date of the chief trial counsel's appointment. The Regulation and Discipline Committee will conduct its evaluation using a performance plan established in conjunction with the Office of Human Resources and executive director. The performance plan will be provided to the chief trial counsel within 90 days of appointment. The State Bar may meet in closed session to discuss the annual performance evaluation of the chief trial counsel.
- Approve changes to high-level quality-control policies that apply to the functioning of the State Bar Program Areas under the Regulation and Discipline Committee's performance-monitoring and oversight authority.
- Review performance reports in Regulation and Discipline Committee meetings and report program performance to the full Board.
- Identify and oversee the implementation of needed corrective actions. Oversee the preparation of in-depth assessments of program/function effectiveness for presentation at the annual strategic planning session.
- Review internal and external audit reports as they relate to the functions of the State Bar under the Regulation and Discipline Committee's performance monitoring and oversight authority and oversee implementation of recommendations identified therein.
- Monitor the work of the Special Deputy Trial Counsel Administrator assigned to the management of cases from which the Chief Trial Counsel's Office is recused under Rule 2201 of the Rules of Procedure of the State Bar.
- Oversee the Annual Discipline Report process and underlying discipline statistics.
- Develop and adopt a Regulation and Discipline Committee Work Plan for approval by the Board Executive Committee; for each Regulation and Discipline Committee project listed on the Work Plan, the Work Plan shall include a description of the project, the

Strategic Plan Goal(s) and Objective(s) that are furthered by the project, and an estimated timeline for completion and presentation to the Regulation and Discipline Committee and/or the Board.

- Perform such other functions relevant to the Regulation and Discipline Committee's subject area as the Board of Trustees may from time-to-time assign.