

**RULES OF PROFESSIONAL CONDUCT  
CROSS-REFERENCE CHART**

<b>“1992” Rules of Professional Conduct</b> <i>Rules effective until October 31, 2018</i> (Rule Number and Title)	<b>Current Rules of Professional Conduct</b> <i>Except as noted, rules effective on November 1, 2018</i> (Rule Number and Title)
<b>1-100(A)</b> [Rules of Professional Conduct, in General]	<b>1.0</b> Purpose and Function of the Rules of Professional Conduct
<b>1-100(B)</b>	<b>1.0.1</b> Terminology
<b>1-100(D)</b>	<b>8.5</b> Disciplinary Authority; Choice of Law
<b>1-110</b> Disciplinary Authority of the State Bar	<b>8.1.1</b> Compliance with Conditions of Discipline and Agreements in Lieu of Discipline
<b>1-120</b> Assisting, Soliciting, or Inducing Violations	<b>8.4</b> Misconduct
<b>1-200</b> False Statement Regarding Admission to the State Bar	<b>8.1</b> False Statement Regarding Application for Admission to Practice Law
<b>1-300</b> Unauthorized Practice of Law	<b>5.5</b> Unauthorized Practice of Law; Multijurisdictional Practice of Law
<b>1-310</b> Forming a Partnership With a Non-Lawyer	<b>5.4</b> Financial and Similar Arrangements with Nonlawyers
<b>1-311</b> Employment of Disbarred, Suspended, Resigned, or Involuntarily Inactive Members	<b>5.3.1</b> Employment of Disbarred, Suspended, Resigned, or Involuntarily Inactive Lawyer
<b>1-320(A)</b>	<b>5.4</b> Financial and Similar Arrangements with Nonlawyers
<b>1-320(A)(4) &amp; (B)-(C)</b> [Financial Arrangements With Non-Lawyer]	<b>7.2(b)</b> Advertising
<b>1-400</b> Advertising and Solicitation	<b>7.1</b> Communications Concerning a Lawyer’s Services <b>7.2</b> Advertising <b>7.3</b> Solicitation of Clients <b>7.4</b> Communication of Fields of Practice and Specialization <b>7.5</b> Firm Names and Trade Names
<b>1-500</b> Agreements Restricting a Member's Practice	<b>5.6</b> Restrictions on a Lawyer’s Right to Practice
<b>1-600</b> Legal Service Programs	<b>5.4</b> Financial and Similar Arrangements with Nonlawyers
<b>1-650</b> Limited Legal Service Programs	<b>6.5</b> Limited Legal Services Programs
<b>1-700</b> Member as Candidate for Judicial Office	<b>8.2</b> Judicial Officials
<b>1-710</b> Member as Temporary Judge, Referee, or Court-Appointed Arbitrator	<b>2.4.1</b> Lawyer as Temporary Judge, Referee, or Court-Appointed Arbitrator
<b>2-100</b> Communication With a Represented Party	<b>4.2</b> Communication with a Represented Person
<b>2-200(A)</b> Financial Arrangements Among Lawyers	<b>1.5.1</b> Fee Divisions Among Lawyers
<b>2-200(B)</b>	<b>7.2(b)</b> Advertising
<b>2-300</b> Sale or Purchase of a Law Practice of a Member, Living or Deceased	<b>1.17</b> Sale of a Law Practice
<b>2-400</b> Prohibited Discriminatory Conduct in a Law Practice	<b>8.4.1</b> Prohibited Discrimination, Harassment and Retaliation
<b>3-100</b> Confidential Information of a Client	<b>1.6</b> Confidential Information of a Client
<b>3-110</b> Failing to Act Competently	<b>1.1</b> Competence
<b>3-110(B)</b>	<b>1.3</b> Diligence

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<b>3-110, Discussion ¶.1</b>	<b>Rule 5.1</b> Responsibilities of Managerial and Supervisory Lawyers <b>Rule 5.2</b> Responsibilities of a Subordinate Lawyer <b>Rule 5.3</b> Responsibilities Regarding Nonlawyer Assistants
<b>3-120</b> Sexual Relations With Client	<b>1.8.10</b> Sexual Relations with Current Client
<b>3-200</b> Prohibited Objectives of Employment	<b>3.1</b> Meritorious Claims and Contentions
<b>3-210</b> Advising the Violation of Law	<b>1.2.1</b> Advising or Assisting the Violation of Law
<b>3-300</b> Avoiding Interests Adverse to a Client	<b>1.8.1</b> Business Transactions with a Client and Pecuniary Interests Adverse to the Client
<b>3-310(B), (C)</b> Avoiding the Representation of Adverse Interests	<b>1.7</b> Conflict of Interest: Current Clients
<b>3-310(D)</b>	<b>1.8.7</b> Aggregate Settlements
<b>3-310(E)</b>	<b>1.9</b> Duties To Former Clients
<b>3-310(F)</b>	<b>1.8.6</b> Compensation from One Other than Client
<b>3-320</b> Relationship With Other Party’s Lawyer	<b>1.7(c)(2)</b> Conflict of Interest: Current Clients
<b>3-400</b> Limiting Liability to Client	<b>1.8.8</b> Limiting Liability to Client
<b>3-410</b> Disclosure of Professional Liability Insurance	<b>1.4.2</b> Disclosure of Professional Liability Insurance
<b>3-500</b> Communication	<b>1.4</b> Communication with Clients
<b>3-510</b> Communication of Settlement Offer	<b>1.4.1</b> Communication of Settlement Offers
<b>3-600</b> Organization as Client	<b>1.13</b> Organization as Client
<b>3-700</b> Termination of Employment	<b>1.16</b> Declining or Terminating Representation <sup>1</sup>
<b>4-100</b> Preserving Identity of Funds and Property of a Client	<b>1.15</b> Safekeeping Funds and Property of Clients and Other Persons
<b>4-200</b> Fees for Legal Services	<b>1.5</b> Fees for Legal Services
<b>4-210</b> Payment of Personal or Business Expenses Incurred by or for a Client	<b>1.8.5</b> Payment of Personal or Business Expenses Incurred by or for a Client
<b>4-300</b> Purchasing Property at a Foreclosure or a Sale Subject to Judicial Review	<b>1.8.9</b> Purchasing Property at a Foreclosure or a Sale Subject to Judicial Review
<b>4-400</b> Gifts From Client	<b>1.8.3</b> Gifts from Client
<b>5-100</b> Threatening Criminal, Administrative, or Disciplinary Charges	<b>3.10</b> Threatening Criminal, Administrative, or Disciplinary Charges
<b>5-110</b> Performing the Duty of Member in Government Service ( <b>Note:</b> Rule 5-110 recently was revised effective November 2, 2017.)	<b>3.8</b> Special Responsibilities of a Prosecutor <sup>2</sup>
<b>5-120</b> Trial Publicity	<b>3.6</b> Trial Publicity
<b>5-200(A)-(D)</b> Trial Conduct	<b>3.3</b> Candor Toward the Tribunal

<sup>1</sup> Rule 1.16, Comment [5] was amended by order of the Supreme Court, effective June 1, 2020.

<sup>2</sup> Rule 3.8, Comment [7] was amended by order of the Supreme Court, effective June 1, 2020.

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<b>5-200(E)</b> Trial Conduct	<b>3.4</b> Fairness to Opposing Party and Counsel
<b>5-210</b> Member as Witness	<b>3.7</b> Lawyer as Witness
<b>5-220</b> Suppression of Evidence ( <b>Note:</b> Rule 5-220 recently was revised effective May 1, 2017.)	<b>3.4</b> Fairness to Opposing Party and Counsel ( <b>Note:</b> See also Rule 3.8(d) regarding the duties of a prosecutor.)
<b>5-300</b> Contact With Officials	<b>3.5</b> Contact with Judges, Officials, Employees, and Jurors
<b>5-310</b> Prohibited Contact With Witnesses	<b>3.4</b> Fairness to Opposing Party and Counsel
<b>5-320</b> Contact With Jurors	<b>3.5</b> Contact with Judges, Officials, Employees, and Jurors

**Current Rules With No Former California Rule Counterpart**

Rule 1.2 Scope of Representation and Allocation of Authority

Rule 1.8.2 Use of Current Client’s Information<sup>3</sup>

Rule 1.8.11 Imputation of Prohibitions Under Rules 1.8.1 to 1.8.9

Rule 1.10 Imputation of Conflicts of Interest: General Rule

Rule 1.11 Special Conflicts of Interest for Former and Current Government Officials and Employees

Rule 1.12 Former Judge, Arbitrator, Mediator or Other Third-Party Neutral

Rule 1.18 Duties to Prospective Client

Rule 2.1 Advisor

Rule 2.4 Lawyer as Third-Party Neutral

Rule 3.2 Delay of Litigation

Rule 3.9 Advocate in Non-adjudicative Proceedings

Rule 4.1 Truthfulness in Statements to Others

Rule 4.3 Communicating with an Unrepresented Person<sup>4</sup>

Rule 4.4 Duties Concerning Inadvertently Transmitted Writings

Rule 5.3 Responsibilities Regarding Nonlawyer Assistants

Rule 6.3 Membership in Legal Services Organizations

<sup>3</sup> But see Bus. & Prof. Code § 6068(e).

<sup>4</sup> But see current rule 3-600(D) regarding similar duties in an organizational context.



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<b>1.0</b> Purpose and Function of the Rules of Professional Conduct	<b>1-100</b> Rules of Professional Conduct, in General
<b>1.0.1</b> Terminology	<b>1-100(B)</b>
<b>1.1</b> Competence	<b>3-110</b> Failing to Act Competently
<b>1.2</b> Scope of Representation and Allocation of Authority	<b>No California Rule Counterpart</b>
<b>1.2.1</b> Advising or Assisting the Violation of Law	<b>3-210</b> Advising the Violation of Law
<b>1.3</b> Diligence	<b>3-110(B)</b> <sup>5</sup>
<b>1.4</b> Communication with Clients	<b>3-500</b> Communication
<b>1.4.1</b> Communication of Settlement Offers	<b>3-510</b> Communication of Settlement Offer
<b>1.4.2</b> Disclosure of Professional Liability Insurance	<b>3-410</b> Disclosure of Professional Liability Insurance
<b>1.5</b> Fees for Legal Services	<b>4-200</b> Fees for Legal Services
<b>1.5.1</b> Fee Divisions Among Lawyers	<b>2-200</b> Financial Arrangements Among Lawyers
<b>1.6</b> Confidential Information of a Client	<b>3-100</b> Confidential Information of a Client
<b>1.7</b> Conflict of Interest: Current Clients	<b>3-310(B),(C)</b> [Avoiding the Representation of Adverse Interests] <b>3-320</b> Relationship With Other Party’s Lawyer
<b>1.8.1</b> Business Transactions with a Client and Pecuniary Interests Adverse to the Client	<b>3-300</b> Avoiding Interests Adverse to a Client
<b>1.8.2</b> Use of Current Client’s Information	<b>No California Rule Counterpart</b> <sup>6</sup>
<b>1.8.3</b> Gifts from Client	<b>4-400</b> Gifts From Client
<b>1.8.5</b> Payment of Personal or Business Expenses Incurred by or for a Client	<b>4-210</b> Payment of Personal or Business Expenses Incurred by or for a Client
<b>1.8.6</b> Compensation from One Other than Client	<b>3-310(F)</b>
<b>1.8.7</b> Aggregate Settlements	<b>3-310(D)</b>
<b>1.8.8</b> Limiting Liability to Client	<b>3-400</b> Limiting Liability to Client
<b>1.8.9</b> Purchasing Property at a Foreclosure or a Sale Subject to Judicial Review	<b>4-300</b> Purchasing Property at a Foreclosure or a Sale Subject to Judicial Review
<b>1.8.10</b> Sexual Relations with Current Client	<b>3-120</b> Sexual Relations With Client
<b>1.8.11</b> Imputation of Prohibitions Under Rules 1.8.1 to 1.8.9	<b>No California Rule Counterpart</b>
<b>1.9</b> Duties To Former Clients	<b>3-310(E)</b>
<b>1.10</b> Imputation of Conflicts of Interest: General Rule	<b>No California Rule Counterpart</b>

<sup>5</sup> Rule 3-110(B) provides:

(B) For purposes of this rule, "competence" in any legal service shall mean to apply the 1) *diligence*, 2) learning and skill, and 3) mental, emotional, and physical ability reasonably necessary for the performance of such service. (Emphasis added.)

<sup>6</sup> But see Cal. Bus. & Prof. Code § 6068(e)(1).

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<b>1.11</b> Special Conflicts of Interest for Former and Current Government Officials and Employees	<b>No California Rule Counterpart</b>
<b>1.12</b> Former Judge, Arbitrator, Mediator or Other Third-Party Neutral	<b>No California Rule Counterpart</b>
<b>1.13</b> Organization as Client	<b>3-600</b> Organization as Client
<b>1.14</b> [Reserved] <sup>7</sup>	
<b>1.15</b> Safekeeping Funds and Property of Clients and Other Persons	<b>4-100</b> Preserving Identity of Funds and Property of a Client
<b>1.16</b> Declining or Terminating Representation <sup>8</sup>	<b>3-700</b> Termination of Employment
<b>1.17</b> Sale of a Law Practice	<b>2-300</b> Sale or Purchase of a Law Practice of a Member, Living or Deceased
<b>1.18</b> Duties to Prospective Client	<b>No California Rule Counterpart</b>
<b>2.1</b> Advisor	<b>No California Rule Counterpart</b>
<b>2.2</b> [Reserved] <sup>9</sup>	
<b>2.3</b> [Reserved] <sup>10</sup>	
<b>2.4</b> Lawyer as Third-Party Neutral	<b>No California Rule Counterpart</b>
<b>2.4.1</b> Lawyer as Temporary Judge, Referee, or Court-Appointed Arbitrator	<b>1-710</b> Member as Temporary Judge, Referee, or Court-Appointed Arbitrator
<b>3.1</b> Meritorious Claims and Contentions	<b>3-200</b> Prohibited Objectives of Employment
<b>3.2</b> Delay of Litigation	<b>No California Rule Counterpart</b>
<b>3.3</b> Candor Toward the Tribunal	<b>5-200(A)-(D)</b> Trial Conduct
<b>3.4</b> Fairness to Opposing Party and Counsel	<b>5-200(E)</b> [Trial Conduct] <b>5-220</b> Suppression of Evidence ( <u>Note</u> : Rule 5-220 recently was revised effective May 1, 2017.) <b>5-310</b> Prohibited Contact With Witnesses ( <u>Note</u> : See also Rule 5-110 that recently was revised effective November 2, 2017.)
<b>3.5</b> Contact with Judges, Officials, Employees, and Jurors	<b>5-300</b> Contact With Officials <b>5-320</b> Contact With Jurors
<b>3.6</b> Trial Publicity	<b>5-120</b> Trial Publicity
<b>3.7</b> Lawyer as Witness	<b>5-210</b> Member as Witness

<sup>7</sup> ABA Model Rule 1.14 (“Client With Diminished Capacity”) has not been adopted in California.

<sup>8</sup> Rule 1.16, Comment [5] was amended by order of the Supreme Court, effective June 1, 2020.

<sup>9</sup> ABA Model Rule 2.2 was deleted and has not been adopted in California.

<sup>10</sup> ABA Model Rule 2.3 (“Evaluation For Use By Third Persons”) has not been adopted in California.

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<b>3.8</b> Special Responsibilities of a Prosecutor <sup>11</sup>	<b>5-110</b> Performing the Duty of Member in Government Service <i>(Note: Rule 5-110 recently was revised effective November 2, 2017.)</i>
<b>3.9</b> Advocate in Non-adjudicative Proceedings	<b>No California Rule Counterpart</b>
<b>3.10</b> Threatening Criminal, Administrative, or Disciplinary Charges	<b>5-100</b> Threatening Criminal, Administrative, or Disciplinary Charges
<b>4.1</b> Truthfulness in Statements to Others	<b>No California Rule Counterpart</b>
<b>4.2</b> Communication with a Represented Person	<b>2-100</b> Communication With a Represented Party
<b>4.3</b> Communicating with an Unrepresented Person	<b>No California Rule Counterpart</b>
<b>4.4</b> Duties Concerning Inadvertently Transmitted Writings	<b>No California Rule Counterpart</b>
<b>5.1</b> Responsibilities of Managerial and Supervisory Lawyers	<b>No California Rule Counterpart</b> <sup>12</sup>
<b>5.2</b> Responsibilities of a Subordinate Lawyer	<b>No California Rule Counterpart</b>
<b>5.3</b> Responsibilities Regarding Nonlawyer Assistants	<b>No California Rule Counterpart</b> <sup>13</sup>
<b>5.3.1</b> Employment of Disbarred, Suspended, Resigned, or Involuntarily Inactive Lawyer	<b>1-311</b> Employment of Disbarred, Suspended, Resigned, or Involuntarily Inactive Members
<b>5.4</b> Financial and Similar Arrangements with Nonlawyers	<b>1-310</b> Forming a Partnership With a Non-Lawyer <b>1-320</b> Financial Arrangements With Non-Lawyer <b>1-600</b> Legal Service Programs
<b>5.5</b> Unauthorized Practice of Law; Multijurisdictional Practice of Law	<b>1-300</b> Unauthorized Practice of Law
<b>5.6</b> Restrictions on a Lawyer’s Right to Practice	<b>1-500</b> Agreements Restricting a Member's Practice
<b>6.3</b> Membership in Legal Services Organizations	<b>No California Rule Counterpart</b>
<b>6.5</b> Limited Legal Services Programs	<b>1-650</b> Limited Legal Service Programs
<b>7.1</b> Communications Concerning a Lawyer’s Services	<b>1-400</b> Advertising and Solicitation
<b>7.2</b> Advertising	<b>1-320(B)-(C) &amp; (A)(4)</b> [Financial Arrangements With Non-Lawyer] <b>1-400</b> Advertising and Solicitation <b>2-200</b> Financial Arrangements Among Lawyers
<b>7.3</b> Solicitation of Clients	<b>1-400</b> Advertising and Solicitation
<b>7.4</b> Communication of Fields of Practice and Specialization	<b>1-400</b> Advertising and Solicitation
<b>7.5</b> Firm Names and Trade Names	<b>1-400</b> Advertising and Solicitation
<b>7.6</b> [Reserved] <sup>14</sup>	

<sup>11</sup> Rule 3.8, Comment [7] was amended by order of the Supreme Court, effective June 1, 2020.

<sup>12</sup> But see rule 3-110, Discussion ¶. 1.

<sup>13</sup> But see rule 3-110, Discussion ¶. 1.

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<b>8.1</b> False Statement Regarding Application for Admission to Practice Law	<b>1-200</b> False Statement Regarding Admission to the State Bar
<b>8.1.1</b> Compliance with Conditions of Discipline and Agreements in Lieu of Discipline	<b>1-110</b> Disciplinary Authority of the State Bar
<b>8.2</b> Judicial Officials	<b>1-700</b> Member as Candidate for Judicial Office
<b>8.3</b> [Reserved] <sup>15</sup>	
<b>8.4</b> Misconduct	<b>1-120</b> Assisting, Soliciting, or Inducing Violations
<b>8.4.1</b> Prohibited Discrimination, Harassment and Retaliation	<b>2-400</b> Prohibited Discriminatory Conduct in a Law Practice
<b>8.5</b> Disciplinary Authority; Choice of Law	<b>1-100(D)</b> Rules of Professional Conduct, in General

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<sup>14</sup> ABA Model Rule 7.6 (“Political Contributions To Obtain Legal Engagements Or Appointments By Judges”) has not been adopted in California.

<sup>15</sup> ABA Model Rule 8.3 (“Reporting Professional Misconduct”) has not been adopted in California.